Greater London Authority
Planning Code of Conduct for elected and co-opted Members of the Authority

1. Introduction

1.1 This document is the Planning Code of Conduct (“the Planning Code”) for the exercise of the planning powers of the Greater London Authority (“the Authority”), and is adopted pursuant to paragraph 5.69 of the Secretary of State’s Guidance on the arrangements for Strategic Planning in London (GOL Circular 1/2008) and extended to cover not only the Mayor, but Assembly Members and co-opted Members of the Authority (“Members”).

1.2 The Planning Code sets out how Members and the Mayor should behave with regards planning matters in order to ensure that they comply with the Authority’s Code of Conduct, and provides guidance on expected standards of behaviour of Members and the Mayor in relation to such matters.

1.3 Any allegation of a breach of the Planning Code of Conduct will automatically be referred to the Monitoring Officer who will consider whether this is also a breach of the Authority’s Code of Conduct. The Monitoring Officer will make an initial assessment as to whether to refer the allegation to the Assessment Sub-Committee who will then decide how to deal with the allegation. The Monitoring Officer will provide regular reports to the Standards Committee about any allegations of breaches of the Planning Code of Conduct including those that have not been referred to the Assessment Sub-Committee.

2. Definitions

2.1 In this Planning Code, unless the context otherwise requires:

“the Mayor” includes the statutory deputy Mayor

“personal interest” and “prejudicial interest” shall be interpreted in accordance with the Code of Conduct.

“persons to whom this Planning Code applies” means:

(1) the Mayor;

(2) any Member of the Assembly;

(3) any co-opted Member of the Authority.

“planning functions” include:

- preparing and publishing alterations to, or a replacement of, the spatial development strategy (known as “the London Plan”) under the Greater London Authority Act 1999, Part VIII;

- giving a written opinion whether a local planning authority's local development documents are or are not in general conformity with
the spatial development strategy, under the Planning and Compulsory Purchase Act 2004, s.24(4) the Town and Country Planning (London Spatial Development Strategy) Regulations 2000 (SI 2000 No 1491) and the Town and Country Planning (Local Development) (England) Regulations 2004 (SI 2004 No 2204) (as amended);

- issuing directions to local planning authorities requiring amendments to Local Development Schemes under the Planning and Compulsory Purchase Act 2004, s.15 (as amended by the Greater London Authority Act 2007, s.30);

- reviewing applications of potential strategic importance notified by a local planning authority under the Town and Country Planning Act 1990, sections 73 and 74(1B) and the Town and Country Planning (Mayor of London) Orders 2000 and 2008 (SI 2000 No 1493 and S1 2008 No 580), and deciding whether to direct the refusal of planning permission;

- the giving of a direction to a local planning authority that the Mayor is to act as the local planning authority to determine an application under Article 7 of the Town and Country Planning (Mayor of London) Order 2008 the determination of such applications and any connected applications and the determination of any reserved matter applications or applications for approval of details pursuant to applications granted by the Mayor;

- participating in a planning appeal following such a direction to refuse planning permission or where the Mayor has determined an application;

- responding as a statutory or non-statutory consultee when consulted on any planning application, and engaging generally in discussion regarding planning matters affecting Greater London.

- preparing and publishing additional guidance (such as supplementary planning guidance, opportunity area planning frameworks, best practice guides or implementation reports) to supplement the policies contained in the spatial development strategy.

"planning matter" includes all matters arising under town and country planning legislation in which the Authority is involved, including the exercise of any planning functions by the Authority.

2.2 Expressions defined by the Greater London Authority Acts 1999 and 2007 or by subordinate legislation made under these Acts shall have, unless the context otherwise requires, the meanings given by those Acts or subordinate legislation made thereunder.
3. **Principles**

3.1 The Mayor and Members of the Authority shall conduct themselves, in relation to planning matters in accordance with the highest standards of probity, openness and transparency, and shall in particular ensure:

3.1.1 that all planning matters are considered solely on their merits;

3.1.2 the avoidance of bias and the appearance of bias arising from a personal interest;

3.1.3 that no improper or undue influence is brought to bear by or on any of the persons to whom this Planning Code applies on any other person including staff of the Authority in connection with any planning matter;

3.1.4 openness in its relations with all interested parties;

3.1.5 transparency in its handling of planning matters;

3.1.6 that any discussion about a specific planning proposal or planning matters generally does not prejudice or prejudice the formal exercise by the Authority of its planning functions;

3.1.7 that proper and adequate reasons are published for its decisions;

3.2 No person to whom this Planning Code applies shall misuse any information gained in the course of their work in relation to planning matters for the Authority for personal gain or political purpose, nor seek to promote their private interest or that of any connected persons, businesses or other organisations.

4. **Declarations of interest**

4.1 All persons to whom this Planning Code applies shall, when exercising or advising on the exercise of planning functions, declare any personal interest and prejudicial interest in any planning matter of which they are aware, in accordance with the Authority’s Code of Conduct.

4.2 When any person to whom this Planning Code applies is subject to a party whip in relation to any planning matter, that person shall declare the existence of the whip and its nature.

5. **Effect of a personal and prejudicial interest**

5.1 Where the Mayor has a prejudicial interest, the Mayor shall not exercise the function to which it relates and shall delegate that function to an appropriate person. Where the Mayor is prohibited from delegating that function by statute, the function should not be exercised by the Authority.
6. **Pre-determination**

6.1 If the Mayor is to take a decision on a planning matter, he must not fetter his discretion by expressing an opinion on a proposal or planning application to the extent that this could be interpreted as having prejudged the outcome of a future decision. If this could be interpreted as such, the subsequent decision should be delegated to an appropriate person.

7. **Pre-application/post submission discussions**

7.1 In the interests of open consultation the Mayor may agree to presentations on potential planning applications or applications, or have meetings with potential applicants or applicants, local authorities and other interested parties, provided that relevant Authority staff are also present, for the purpose of discussion and clarification only and:

7.1.1 ensure that a note is kept and filed of any such meetings and placed on the website in the event of such an application being formally notified to the Mayor in due course;

7.1.2 may seek to involve other interested parties in such meetings;

7.1.3 shall ensure that no indication of opinion is given or a view expressed that may give the impression that a final view on the application/matter in question has been reached;

7.1.4 shall ensure that discussions do not develop into negotiations and confirm that such meetings do not form part of the formal determination process.

7.2 The Mayor shall not automatically be precluded from exercising his planning functions, including assuming jurisdiction over an application, when one of the GLA’s Functional Bodies has an interest in that function. The Mayor must, however, avoid any perception of bias or pre-judgement. The Mayor should actively consider whether it is necessary to delegate his planning function.

8. **Role of the Assembly, its Planning Committee, co-opted Members and the Functional Bodies and the Code of Conduct**

8.1 An Assembly Member who has a personal or prejudicial interest in an application of potential strategic importance shall declare that interest before making any representation to the Mayor, statutory Deputy Mayor or any other person exercising planning powers.

8.2 No Assembly Member shall participate in any discussion in the Assembly of the Mayor’s decisions or actions in respect of any planning application in which he or she has either registered or declared an interest, unless the Standards Committee has granted the member a dispensation.
8.3 If a Member is also a member of:
   
   (i) a local planning authority and of a committee of that authority responsible for planning matters, or

   (ii) the board of a Functional Body

   they shall declare that membership as an interest when attending any meeting with the Mayor or any meeting of a committee or sub-committee of the Assembly regarding any application involving that authority or Functional Body.

9. Planning decisions

9.1 Upon an application of potential strategic importance being notified to the Authority all representations received shall be considered before any final decision on the planning matter is made.

9.2 All planning decisions shall be given in writing and shall include a proper and adequate statement of the reasons for the decision.

10. Accountability to the Assembly

10.1 The Mayor shall include a report on all planning decisions in his next Report to the Assembly.

11. Role of the Standards Committee

11.1 The Authority’s Standards Committee shall have the functions of:

   11.1.1 promoting and maintaining high standards of conduct by the persons to whom this Planning Code applies and assisting them in its observance;

   11.1.2 monitoring the operation of the Planning Code;

   11.1.3 agreeing to any revision of the Planning Code;

   11.1.4 advising, training or arranging to train persons to whom the Planning Code applies on matters relating to the Planning Code.

11.2 The Authority’s Standards Committee shall review the operation of this Planning Code within 12 months of its adoption and thereafter annually.

11.3 Any allegation about a potential breach of this Planning Code should be made to the Authority’s Monitoring Officer who will consider whether this should be referred to the Assessment Sub-Committee which deals with complaints about breaches of the Authority’s Code of Conduct.

Dated: January 14 2009
1. Introduction

1.1 This document is the Planning Protocol for GLA staff with delegated powers from the Mayor of London ("the Planning Protocol for delegated staff") for the exercise of the planning powers of the Greater London Authority ("the Authority").

1.2 The Planning Protocol for delegated staff seeks to explain how GLA staff with delegated powers should behave with regards planning matters, and provides guidance on expected standards of behaviour in relation to such matters.

1.3 Any allegation of a breach of the Planning Protocol for delegated staff will be considered in accordance with the disciplinary procedures set out in the Code of Ethics and Standards.

2. Definitions

2.1 In this Planning Protocol for delegated staff, unless the context otherwise requires:

"personal interest" shall be interpreted in accordance with paragraph 8 and 9 of the Authority’s Code of Conduct

"prejudicial interest" shall be interpreted in accordance with paragraph 10 of the Authority’s Code of Conduct.

"You" means Sir Simon Milton, Ian Clement, and Giles Dolphin

"planning functions" include:

• preparing and publishing alterations to, or a replacement of, the spatial development strategy (known as "the London Plan") under the Greater London Authority Act 1999, Part VIII;

• giving a written opinion whether a local planning authority’s local development documents are or are not in general conformity with the spatial development strategy, under the Planning and Compulsory Purchase Act 2004, s.24(4) the Town and Country Planning (London Spatial Development Strategy) Regulations 2000 (S1 2000 No 1491) and the Town and Country Planning (Local Development) (England) Regulations 2004 (SI 2004 No 2204) (as amended);

• issuing directions to local planning authorities requiring amendments to Local Development Schemes under the Planning and Compulsory Purchase Act 2004, s.15 (as amended by the Greater London Authority Act 2007, s.30);
• reviewing applications of potential strategic importance notified by a local planning authority under the Town and Country Planning Act 1990, sections 73 and 74(1B) and the Town and Country Planning (Mayor of London) Orders 2000 and 2008 (SI 2000 No 1493 and S1 2008 No 580), and deciding whether to direct the refusal of planning permission;

• the giving of a direction to a local planning authority that the Mayor is to act as the local planning authority to determine an application under Article 7 of the Town and Country Planning (Mayor of London) Order 2008 the determination of such applications and any connected applications and the determination of any reserved matter applications or applications for approval of details pursuant to applications granted by the Mayor;

• participating in a planning appeal following such a direction to refuse planning permission or where the Mayor has determined an application;

• responding as a statutory or non-statutory consultee when consulted on any planning application, and engaging generally in discussion regarding planning matters affecting Greater London.

• preparing and publishing additional guidance (such as supplementary planning guidance, opportunity area planning frameworks, best practice guides or implementation reports) to supplement the policies contained in the spatial development strategy.

"planning matter" includes all matters arising under town and country planning legislation in which the Authority is involved, including the exercise of any planning functions by the Authority.

2.2 Expressions defined by the Greater London Authority Acts 1999 and 2007 or by subordinate legislation made under these Acts shall have, unless the context otherwise requires, the meanings given by those Acts or subordinate legislation made thereunder.

3. Principles

3.1 You shall conduct yourself, in relation to planning matters in accordance with the highest standards of probity, openness and transparency, and shall in particular ensure:

3.1.1 that all planning matters are considered solely on their merits;

3.1.2 the avoidance of bias and the appearance of bias arising from a personal interest;

3.1.3 that no improper or undue influence is brought to bear by or on you or on any other person including staff of the Authority in connection with any planning matter;
3.1.4 openness in its relations with all interested parties;

3.1.5 transparency in its handling of planning matters;

3.1.6 that any discussion about a specific planning proposal or planning matters generally does not prejudice or prejudice the formal exercise by the Authority of its planning functions;

3.1.7 that proper and adequate reasons are published for its decisions;

3.2 You shall not misuse any information gained in the course of your work in relation to planning matters for the Authority for personal gain or political purpose, nor seek to promote your private interest or that of any connected persons, businesses or other organisations.

4. Registration and declaration of interest

4.1 You shall register details of your personal interests with the Monitoring Officer where they fall within a category mentioned in paragraph 8 (1) of the Authority’s Code of Conduct, and must within 28 days of becoming aware of any new personal interest or change to any personal interest registered, register details of that new personal interest or change by providing written notification to the Monitoring Officer.

4.2 You shall, when exercising or advising on the exercise of planning functions, declare any personal or prejudicial interest in any planning matter of which you are aware and record the declaration of such an interest.

5. Effect of a personal and prejudicial interest

If you have a prejudicial interest, you shall not exercise the function to which it relates and shall, decline to exercise the delegation.

6. Pre-determination

6.1 If you take a decision on a planning matter, you must not fetter your discretion by expressing an opinion on a proposal or planning application to the extent that this could be interpreted as having prejudged the outcome of a future decision. If this could be interpreted as such, you must not exercise the subsequent decision.

7. Pre-application/post submission discussions

7.1 In the interests of open consultation you may agree to presentations on potential planning applications or applications, or have meetings with potential applicants or applicants, local authorities and other interested parties, provided that relevant Authority staff are also present, for the purpose of discussion and clarification only and and:
7.1.1 shall ensure that a note is kept and filed of any such meetings and placed on the website in the event of such an application being formally notified to the Mayor in due course;

7.1.2 must seek to involve other interested parties in such meetings;

7.1.3 shall ensure that no indication of opinion is given or a view expressed that may give the impression that a final view on the application/matter in question has been reached;

7.1.4 shall ensure that discussions do not develop into negotiations and confirm that such meetings do not form part of the formal determination process.

7.2 You should actively consider whether it is necessary not to exercise this planning function.

8. **Planning decisions**

8.1 Upon an application of potential strategic importance being notified to the Authority all representations received shall be considered before any final decision on the planning matter is made.

8.2 All planning decisions shall be given in writing and shall include a proper and adequate statement of the reasons for the decision.

9. **Role of the Standards Committee**

9.1 The Authority’s Standards Committee shall have the functions of:

9.1.1 promoting and maintaining high standards of conduct by the persons to whom this Protocol applies and assisting them in its observance;

9.1.2 monitoring the operation of this Protocol;

9.1.3 recommending any revision of this Protocol;

9.1.4 to advise, train or arrange to train those for whom the Planning Protocol applies.

9.2 The Authority’s Standards Committee shall review the operation of this Protocol within 12 months of its adoption and thereafter annually.
10. **Enforcement**

10.1 Any allegation of breach of this Planning Protocol will be dealt with in accordance with the Authority’s disciplinary procedures as set out in the Code of Ethics and Standards.

Dated: 14 January 2009
1. **Introduction**

1.1 This document is the Planning Protocol for staff (except those with delegated planning powers) (“the Planning Protocol for staff”) in relation to the exercise of the planning powers of the Greater London Authority (“the Authority”),

1.2 The Planning Protocol for staff seeks to explain how staff should behave with regards planning matters, and provides guidance on expected standards of behaviour in relation to such matters.

1.3 Any allegation of breach of the Planning Protocol for staff will be considered in accordance with the disciplinary procedures set out in the Code of Ethics and Standards.

2. **Definitions**

2.1 In this Planning Protocol for staff, unless the context otherwise requires:

“persons to whom this Planning Code applies” means Head of Paid Service employees, workers, statutory officers, and mayoral appointees (except those with delegated planning powers from the Mayor of London)

"planning functions" include:

- preparing and publishing alterations to, or a replacement of, the spatial development strategy (known as “the London Plan”) under the Greater London Authority Act 1999, Part VIII;

- giving a written opinion whether a local planning authority’s local development documents are or are not in general conformity with the spatial development strategy, under the Planning and Compulsory Purchase Act 2004, s.24(4), the Town and Country Planning (London Spatial Development Strategy) Regulations 2000 (S1 2000 No 1491) and the Town and Country Planning (Local Development) (England) Regulations 2004 (SI 2004 No 2204) (as amended);

- issuing directions to local planning authorities requiring amendments to Local Development Schemes under the Planning and Compulsory Purchase Act 2004, s.15 (as amended by the Greater London Authority Act 2007, s.30);
• reviewing applications of potential strategic importance notified by a local planning authority under the Town and Country Planning Act 1990, sections 73 and 74(1B) and the Town and Country Planning (Mayor of London) Orders 2000 and 2008 (SI 2000 No 1493 and S1 2008 No 580);;

• the giving of a direction to a local planning authority that the Mayor is to act as the local planning authority to determine an application under Article 7 of the Town and Country Planning (Mayor of London) Order 2008 the determination of such applications and any connected applications and the determination of any reserved matter applications or applications for approval of details pursuant to applications granted by the Mayor;

• participating in a planning appeal following such a direction to refuse planning permission or where the Mayor has determined an application;

• responding as a statutory or non-statutory consultee when consulted on any planning application, and engaging generally in discussion regarding planning matters affecting Greater London.

• preparing and publishing additional guidance (such as supplementary planning guidance, opportunity area planning frameworks, best practice guides or implementation reports) to supplement the policies contained in the spatial development strategy.

"planning matter" includes all matters arising under town and country planning legislation in which the Authority is involved, including the exercise of any planning functions by the Authority.

2.2 Expressions defined by the Greater London Authority Acts 1999 and 2007 or by subordinate legislation made under these Acts shall have, unless the context otherwise requires, the meanings given by those Acts or subordinate legislation made thereunder.

3. Principles

3.1 Persons to whom this Planning Protocol for staff applies shall conduct themselves, in relation to planning matters in accordance with the highest standards of probity, openness and transparency, and shall in particular ensure:

3.1.1 that all planning matters are considered solely on their merits;

3.1.2 the avoidance of bias and the appearance of bias, in particular arising from an interest;

3.1.3 that no improper or undue influence is brought to bear by or on any other person including staff of the Authority in connection with any planning matter;
3.1.4 openness in its relations with all interested parties;

3.1.5 transparency in its handling of planning matters;

3.1.6 that any discussion about a specific planning proposal or planning matters generally does not prejudice or prejudice the formal exercise by the Authority of its planning functions;

3.1.7 that proper and adequate reasons are published for its decisions;

3.2 No person to whom this Planning Protocol for staff applies shall misuse any information gained in the course of their work in relation to planning matters for the Authority for personal gain or political purpose, nor seek to promote their private interest or that of any connected persons, businesses or other organisations.

4. Declarations of interest

4.1 All persons to whom this Planning Protocol for staff applies shall, when advising on the exercise of planning functions, declare any interests and ensure that their register of interests with the Monitoring Officer is up to date, in accordance with the Authority’s Code of Ethics and Standards.

5. Effect of an interest

5.1 Where persons to whom this Planning Code applies have registered an interest with the Authority’s Monitoring Officer, and it is relevant to the matter that they are advising on, they must disclose and discuss the interest with their Director who will decide whether they can continue to advise on the matter.

6. Pre-application advice and discussions/post submission discussions

6.1 In the interests of promoting the highest standards of development in Greater London and of facilitating consultation on matters of potential strategic importance, the staff of the Authority will provide pre-application advice relating to planning matters of potential strategic importance to potential applicants in accordance with the procedures set out in the Authority’s pre-application advice service (http://www.london.gov.uk/mayor/planning_decisions/pre-planning.jsp).

6.2 Before any discussion takes place it must be made clear that the advice given by officers does not constitute a formal response or decision by the Mayor with regard to future planning applications. Any views or opinions expressed are without prejudice to the Mayor’s formal consideration of the application.

6.3 In the interests of open consultation the Mayor (or any person to whom the Mayor may have delegated the function) may agree to presentations on potential planning applications or applications, or have meetings with potential applicants or applicants, local authorities and other interested parties, provided that relevant Authority staff are also present for the purpose of discussion and clarification only and:
6.3.1 shall ensure that a note is kept and filed of any such meetings and placed on the website in the event of such an application being formally notified to the Mayor in due course;

6.3.2 may seek to involve other interested parties in such meetings.

6.3.3 shall ensure that no indication is given or a view expressed that may give the impression that a final view on the application/matter in question has been reached.

6.3.4 shall ensure that discussions do not develop into negotiations and confirm that such meetings do not form part of the formal determination process.

7. Securing openness and transparency in handling planning matters

7.1 The Authority shall ensure that adequate publicity is given to all planning matters coming to it, and that all documents, other than those which are exempt or confidential, are made publicly available as soon as possible.

7.2 In particular, copies of all of the following shall be published on the Authority’s web site:

7.2.1 delegations of planning functions;

7.2.2 declarations of interest in relation to executive planning functions;

7.2.3 responses to consultation on Local Development Documents (“LDDs”) and requests for opinions as to the general conformity of LDDs with the spatial development strategy;

7.2.4 any notification by a local planning authority of an application of potential strategic importance under Article 3 of the Town and Country Planning (Mayor of London) Order 2000 or Article 4 of the Town and Country Planning (Mayor of London) Order 2008;

7.2.5 any notification to a local planning authority under Article 4(2) of the Town and Country Planning (Mayor of London) Order 2000 or Article 5(2) of the Town and Country Planning (Mayor of London) Order 2008 that the Mayor does not wish to be consulted further on an application of potential strategic importance;

7.2.6 details of the location at which copies of the materials forwarded by the local planning authority to the Authority relating to any application may be inspected (including, where possible, hypertext links to the web sites of local planning authorities);

1 As defined by the Local Government Act 1972, ss.100A(3) and 100I.
7.2.7 copies of officers' reports submitted to the Mayor in respect of any statutory reference of a planning application to the Mayor and any other formal planning decision by the Mayor, after the decision has been made (except reports relating to the determination of applications that the Mayor has taken over, which must be published in advance);

7.2.8 any direction by the Mayor to a local planning authority to refuse planning permission, and any direction cancelling such a direction;

7.2.9 any direction by the Mayor to a local planning authority under Article 7 of the Town and Country Planning (Mayor of London) Order 2008 that he is to be the local planning authority;

7.2.10 any determination of an application that the Mayor has taken over under Article 7 of the Town and Country Planning (Mayor of London) Order 2008 and determination of any connected application, application for reserved matters and application for approval of details;

7.2.11 any direction to a local planning authority to determine reserved matters applications pursuant to an application granted by the Mayor;

7.2.12 any direction to a local planning authority to revise a local development scheme or amend a submitted local development scheme; and

the document setting out the persons who may make oral representations, and the procedure to be followed at a representation hearing under s.2F of the Town and Country Planning Act 1990 (as inserted by section 35 of the GLA Act 2007).

7.3 The documents as set out in paragraph 7.2 above shall be published on the Authority's web site as soon as reasonably practicable and in any event within ten working days of receipt by, or submission to, the Mayor or the date of any decision, letter or direction from the Mayor.

In accordance with Article 8 of the Town and Country Planning (Mayor of London) Order 2008 any report considering an application that the Mayor has taken over shall be published seven clear days in advance of the representation hearing.

7.5 Officers of the Authority shall be reasonably available to the public to discuss matters of interest related to applications referred formally to the Mayor for consideration, when before any discussion takes place it is made clear that any views expressed will be those of the officer(s) present and will not bind the Authority to a particular course of action and that a note of any discussion will be kept on file.

8. Pre-determination
8.1 If a person to whom this Planning Protocol for staff applies is to advise on a planning matter, he must not fetter his discretion by expressing an opinion on a proposal or planning application to the extent that this could be interpreted as having prejudged the outcome of a future decision.

9. **Planning decisions**

9.1 Upon an application of potential strategic importance being notified to the Authority all representations received shall be considered before any final decision on the planning matter is made.

9.2 All planning decisions shall be given in writing and shall include a proper and adequate statement of the reasons for the decision.

10 **Role of the Standards Committee**

10.1 The Authority’s Standards Committee shall have the functions of:

10.1.1 promoting and maintaining high standards of conduct by the persons to whom this Planning Protocol for staff applies and assisting them in its observance;

10.1.2 monitoring the operation of this Planning Protocol for staff;

10.1.3 recommending any revision of this Planning Protocol for staff;

10.1.4 to advise, train or arrange to train those for whom the Planning Protocol for staff applies

10.2 The Authority’s Standards Committee shall review the operation of this Planning Protocol for staff within 12 months of its adoption and thereafter annually.

11. **Enforcement**

11.1 Any allegation of breach of this Planning Protocol for staff will be dealt with in accordance with the Authority’s disciplinary procedures as set out in the Code of Ethics and Standards.

Dated: 14 January 2009