

APPENDIX 1

Notification from EC of commencement of grant preparation

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EUROPEAN COMMISSION
Executive Agency for Small and Medium-sized Enterprises
EASME B2 Environment and Resources
Head of Unit

Brussels,

Thomas JACOB
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GERMANY

Subject: Horizon 2020 Framework Programme
Call for proposals: H2020-SCC-2016-2017 (H2020-SCC-NBS-2stage-2017)
Proposal: 776604 — CLEVER Cities
Evaluation result letter — GAP invitation letter

Dear Madam/Sir,

I am writing in connection with your proposal for the above-mentioned call.

Having completed the **evaluation**, we are pleased to inform you that your proposal has **passed this phase** and that the Agency would now like to **start grant preparation**.


Please find enclosed the evaluation summary report (ESR) for both stages of the evaluation, based on the comments and opinion of the experts that evaluated the proposal for the Agency.

Please be aware that there may be differences between the ESRs, since stage 1 evaluations are done on the outline of your proposal while stage 2 evaluations cover the full proposal.

Invitation to grant preparation

Grant preparation will be based on the following:

1. **Proposal:** 776604 — CLEVER Cities
2. **Topic:** SCC-02-2016-2017 — Demonstrating innovative nature-based solutions in cities
3. **Type of action:** Innovation action
4. **Project officer:** Ariana NASTASEANU
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H2020 Environment & Resources

 Please always use the Participant Portal messaging function (via your [Participant Portal account](#)). Do NOT contact the project officer via other means (e-mail, letter, etc) — unless explicitly asked to do so.

5. **Maximum grant amount:**

Requested EU contribution (according to proposal): 14,214,660.63 EUR

Maximum grant amount (proposed amount, after evaluation): **14,214,660.63 EUR**

6. **Duration of the action:** 60 months

7. **Action & budget:**

The **description of the action (DoA)** (Annex 1 to the grant agreement) and the **estimated budget for the action** (Annex 2 to the grant agreement) must be based on the proposal submitted.

⚠ Please be aware that you may have to change your ‘description of the action’, in order to address ethics and security issues.

⚠ Please note that you may normally NOT make changes to the project/project budget/consortium composition (including linked third parties). Please immediately inform the project officer (see above), if you need to make a change (*e.g. because one of the consortium members went bankrupt and can no longer participate*).

8. **Timetable & deadlines for grant preparation**

Submission of grant data & annexes: **4 weeks** after receiving this letter

Once the Agency has checked the information you have encoded, you will have **2 weeks** to submit your final version — to bring it in line with the comments of the project officer.

Signature of the declaration of honour (DoH): **6 weeks** after receiving this letter

Grant signature: **4 May 2018**

⚠ Please note that repeated **failure to respect deadlines** may lead to the **rejection of the partner/proposal**. (Lack of cooperation during grant preparation will be taken to mean that you are no longer interested in the grant).

9. **Fully electronic grant preparation via the Participant Portal**

Please use your [Participant Portal account](#) to prepare your grant (including signature of the agreement). Do NOT contact the Agency via other means (e-mail, letter, etc) — unless explicitly asked to do so.

Please be aware that all **linked third parties** (that are part of your proposal) must be **registered** and validated as legal entities in the Participant Portal [Beneficiary Register](#).

⚠ Register them immediately, if not already done.

Please note that some of your legal and financial **data** in the Beneficiary Register is ‘read-only’ and can be **updated** only by your LEAR (via your Participant Portal account on the My Organisation(s) page). During grant preparation, you will therefore be asked to **appoint a LEAR**.

Please note that the **data** (from your proposal, the Beneficiary Register or grant preparation) **may be used** by the Agency for monitoring and statistical purposes.


10. **Other information**

In addition, the consortium is invited to reflect on the shortcomings identified in the Evaluation Summary Report (ESR) and come up with suggestions to address them in the Description of the Action (DoA) during the grant preparation phase, respecting the delays regarding time to grant.


Further adjustments to align the Description of the Action (DoA) with the Horizon 2020 rules as documented in the annotated Model Grant Agreement (aMGA), might be necessary and will be addressed during the grant preparation phase.

Please make sure all beneficiaries respond to the messages from the Research Executive Agency (REA) in order for all project participants and their respective LEARs to be validated without delay.

The Project Adviser assigned to your project will contact you shortly to discuss all issues related with the Grant Preparation.

 For more information on grant preparation, see the [Online Manual](#) on the Participant Portal.

For British applicants: Please note that until the United Kingdom leaves the EU, EU law continues to apply to and within the United Kingdom, when it comes to rights and obligations; this includes the eligibility of United Kingdom legal entities to fully participate and receive funding in Horizon 2020 actions. Please be aware however that the eligibility criteria must be complied with for the entire duration of the grant. If the United Kingdom withdraws from the EU during the grant period without concluding an agreement with the EU ensuring in particular that British applicants continue to be eligible, you will cease to be eligible to receive EU funding (while continuing, where possible, to participate) or be required to leave the project on the basis of the termination provisions in the grant agreement.

 Please note that this letter does **NOT** constitute a **formal commitment for funding**. The final decision by the Agency will only be taken at a later stage, since it depends on the finalisation of grant preparation and the rest of the selection procedure (implying further checks, for instance, of operational and financial capacity, non-exclusion, etc).

I would be grateful if you could inform the other members of your consortium of this letter.

For any questions, please contact the project officer via your [Participant Portal account](#).

Yours faithfully,

Arnoldas MILUKAS
Head of Unit

APPENDIX 2

CLEVER Cities London Project Narrative

Nature-based solutions (NBS) that work for people

Context

The Thamesmead Estate in south-east London was designed and built in the 1960s. It was conceived and designed to address some of the problems associated with tenement and high-rise social housing built in the 1950s where residents no longer knew their neighbours and community cohesion has declined. The solution was that Thamesmead was designed as a series of terraces accessed by aerial walkways (to encourage social interaction) Furthermore, the Thamesmead estate was built in the floodplain of the river Thames and, to guard against the risk of extensive tidal flooding the estate is set within a landscape of waterbodies and green space design to hold flood water and provide escape routes to higher ground. The estate was in effect designed with an early form of nature-based solutions.

However, the interventions did not work well for the residents. The elevated walkways designed to encourage mobility and community interaction were badly planned, poorly lit, and considered unsafe places to walk. The lakes and greenspaces are underused due to poor access routes and orientation and the vast blank green spaces were not multifunctional and provided little of interest to engage residents.

The CLEVER Cities intervention

The Thamesmead estate is the subject of a multi-million pound estate regeneration and renewal project. Part of the estate will be completely redeveloped to create new homes fit for the future, the remainder of the estate will be renewed through a series of interventions to improve and enhance the public realm with a focus on improving connectivity within and through the estate.

In tandem with the regeneration and renewal of the built environment the CLEVER Cities intervention will renew, repurpose and re-interpret approximately 20ha of the original landscape of the Thamesmead estate and stitch this together with new NBS that complement the desire to improve connectivity through and within the estate. Unlike the original 1960s landscape scheme which was designed without resident participation, the CLEVER Cities project will co-design a nature-based solution for the estate which address issues of connectivity, social interaction and health whilst retaining the original core function of protection from flooding. The CLEVER cities project at Thamesmead will create NBS that local people can understand, interact with and contribute to its management.

Project innovations

The interventions will focus on creating new greenways and green nodes throughout the estate to provide NBS 'on the doorstep' rather than distant from where people live and work. The NBS will be interactive both in the sense of being part of a resident's everyday experience and through use of sensors and technology integrated into the public realm and landscape to monitor the effectiveness of the NBS in delivering environmental outcomes and to provide information to residents to help promote behaviour change and more and better use of the installed NBS. The project will also test new ways of using participative techniques and digital applications to crowd-source information, ideas and views, and new ways of accounting for and revealing the economic value of an NBS intervention.

Project partners and roles

The Thamesmead estate is owned and managed by **Peabody**. They will be responsible for the physical intervention delivered in parallel with the estate regeneration and renewal programme. As a social housing landlord with responsibility for engaging with their residents Peabody will also be responsible for the primary communications with residents.

The **Greater London Authority** is responsible for setting the policy framework for the city. In recent years, it has been actively promoting the concept of green infrastructure (NBS), and encouraging its application in the planning, design and management of the city's green spaces and public realm. The Greater London Authority will co-ordinate the London demonstrator project to ensure that the green infrastructure (NBS) policy ambition is delivered through the project and informs other programmes and projects delivered by the city and its partners.

Building on the work of Sharing Cities, the Greater London Authority will also develop the smart co-design approach. This will include: developing and deploying a series of technology interventions for the in-situ collection of objective data (e.g. temperature; distance walked) and subjective data (e.g. mood, fear of crime) data and a series of public data visualisations, that will present the collected data back to the local community.

Groundwork London is part of a national charity that works with communities across the UK supporting them to create better places, live and work in a greener, more sustainable way and to improve their economic prospects. Groundwork will be responsible for creating a co-design engagement framework and working with resident groups on community/stakeholder co-ordination, developing participative place-making activities; and providing capacity building support for resident groups to enable them to actively participate in co-design and co-management of the NBS. They will also lead on the implementation of newly designed smart co-design approaches.

Social Finance is an advisory and financing firm specialising in partnership projects with measurable social impact. These projects utilise innovative funding streams where positive social impact is aligned to financial returns. Social Finance will work with London partners in developing the business case and financing framework for Peabody Estates' green spaces in Thamesmead and elsewhere within London. This work would involve the identification of positive outcomes that are valued by stakeholders (including health, wellbeing, flood prevention and community enterprise potential) and use cost benefit analysis to build the business case for income generation that can then be financed via social investment. Insights from this work in London would feed into the wider work in Hamburg, Milan and elsewhere to generate a wider range of options and examples for the effective management of urban green spaces.