

DMPC Decision – PCD 962**Title: Part 1 - Pension forfeiture: Stage 3****Determine whether a former officer's pension should be forfeited in whole or in part, permanently or temporarily, or at all.****Executive Summary:**

The former officer was convicted of an offence committed in connection with his service as a member of the Metropolitan Police Service (MPS). The Home Office has granted a certificate of forfeiture on the basis that the conviction was liable to lead to a serious loss of confidence in the public service.

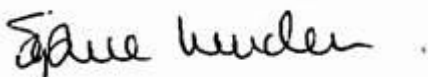
Recommendation:

The Deputy Mayor for Policing and Crime is asked to consider the details of the offence for which the former officer was convicted, as set out in Part 2; and determine whether the former officer's pension should be forfeited in whole or in part, permanently or temporarily or at all, as set out in Part 2.

Deputy Mayor for Policing and Crime

I confirm I have considered whether or not I have any personal or prejudicial interest in this matter and take the proposed decision in compliance with the Code of Conduct. Any such interests are recorded below.

The above request has my approval.

Signature**Date 8/12/2022**

PART I - NON-CONFIDENTIAL FACTS AND ADVICE TO THE DMPC

1. Introduction and background

- 1.1. Included in Part 2 of the decision

2. Issues for consideration

- 2.1. Included in Part 2 of the decision

3. Financial Comments

- 3.1.1 There are no direct financial implications for MOPAC associated with the decision at this stage. Pension forfeiture will 'benefit' the Police Officer Pension Fund which is funded by officer and employer contributions and the Home Office Top Up grant, and any individual pension forfeiture will not materially affect these.

4. Legal Comments

- 4.1. The Scheme of Delegation sets out that the Deputy Mayor makes decisions on whether to forfeit police pensions under the Police Pensions Act 1976, including the level and extent of each forfeiture.

Legal basis for considering forfeiture

- 4.2. Paragraph (4) of Regulation K5 of the Police Pensions Regulations 1987 (as amended) ("the Regulations") states that "subject to paragraph (5) a police pension authority responsible for payment to a member of a police force of a pension to which this regulation applies may determine that the pension be forfeited, in whole or in part and permanently or temporarily as they may specify, if the grantee has been convicted of an offence committed in connection with his service as a member of a police force which is certified by the Secretary of State either to have been gravely injurious to the interests of the state or to be liable to lead to serious loss of confidence in the public services."
- 4.3. Paragraph (5) of Regulation K5 states that "in the case of a pension to which this regulation applies, other than an injury pension, the police pension authority in determining whether a forfeiture should be permanent or temporarily and affect a pension in whole or in part may make different determinations in respect of the secured and unsecured portions of the pension; but the secured portion of such a pension shall not be forfeited permanently and may only be forfeited temporarily for a period expiring before the grantee attains state pensionable age or for which he is imprisoned or otherwise detained in legal custody."

- 4.4. The reason the Regulations refer to a “secured portion” of a pension and an “unsecured portion” derives from the fact that the Police Pension Scheme is a “contracted out” pension scheme. Members of a contracted out scheme pay reduced contributions, but are only entitled to a basic state pension; they are not entitled to the second state pension. If, on ceasing to be a member of a contracted out scheme, an employee’s accrued pension was less than the equivalent state pension that he/she would have accrued had he/she not been in a contracted out scheme, the employer was required to make a “payment in lieu of contributions” to the National Insurance Fund to ensure that the employee’s statement pension was at a “guaranteed minimum” level. In the case of *Harrington v Metropolitan Police Authority* in 2007 it was estimated that the secured portions of a pension would be approximately 15% of the total pension fund. Because of Regulation K(5), the secured portion of the pension can only be forfeited from the date the officer becomes eligible for his police pension until the state pensionable age.
- 4.5. Upon retirement, an officer is entitled to an ordinary pension if he has at least 25 years’ service. This is normally payable when the officer reaches the age of 50. If the officer retires voluntarily before he is entitled to an ordinary pension and he has at least 5 years’ service, he will be entitled to a deferred pension, which becomes payable at the age of 60.
- 4.6. By virtue of section 11(2) of the Police Pensions Act 1976, the MOPAC is the “pension supervising authority” referred to in the provisions above.
- 4.7. Guidance in respect of forfeiture of police pensions is contained in Home Office Police Pension Forfeiture Guidance (‘the Guidance’)(attached as Appendix 9). This Guidance explains the three stages to forfeiture:
- (i) The first stage is for the Pension Supervising Authority to identify a case where a pensioner has committed an offence in connection with his service as a member of a Police Force.
 - (ii) The second stage is for the Home Secretary to consider whether the pensioner’s offence was either gravely injurious to the interests of the State or liable to lead to serious loss of confidence in the public service.
 - (iii) The third stage follows the issue of certificate and is the decision by the Pension Supervising Authority as to whether or not the pension should be forfeited and the determination of the extent of the forfeiture.
- 4.8. The decision in this report is a third stage decision. The courts have ruled that the pension may be forfeited by no more than 65%. The remainder affects the pensioner’s

own contributions which cannot be forfeited. The pensioner's own contributions are in fact simply deferred pay and not a reward conferred upon a faithful employee by a grateful employer in return for long service.

4.9. The secure portion of the pension can only be forfeited until a pensioner reaches state pensionable age unless the pensioner is in legal custody (K5 (5)).

5.1 The Guidance (particularly paragraphs 3.4, 3.15, 4.9, 4.13 and 4.14) provides guidance on the factors which MOPAC may take into account when determining what proportion, if any, of a pension should be forfeited and the period over which forfeiture should take place. The Guidance also includes, at Annex A, a transcript of the judgment in the case of *Harrington v Metropolitan Police Authority* (2007), which had previously set out some of the factors relevant to forfeiture considerations. The factors identified in the Guidance (which includes those established in the case of *Harrington*) are summarised below:

- (a) Length of service and duration of offending
- (b) Seniority of the officer or former officer
- (c) Circumstances surrounding the offence and investigation
- (d) Seriousness with which the Court viewed the offence(s) (as demonstrated by the punishment imposed and any sentencing remarks)
- (e) Whether the offence involved i) an organised conspiracy between a number of officers ii) active support for criminals iii) the perversion of the course of justice iv) the betrayal of an in important position of trust for personal gain v) the corruption or attempted corruption of junior officers
- (f) Any relevant information on the overall behaviour of the officer or former officer during trial and investigation including plea and whether assistance or information was given to the police during the time of investigation or following conviction
- (g) The extent of publicity and media coverage (including continued public news interest in the form of leading articles, comment or correspondence from the public which indicate a serious degree of public concern)
- (h) Mitigating circumstances
- (i) Disability in the family
- (j) The financial implications of any potential forfeiture on widowers or any dependents such as children or family members
- (k) Illness at the time of the offence
- (l) Any other representations made by the officer
- (m) Any other relevant factors (such as consistency of approach)

5 GDPR and Data Privacy

5.1 MOPAC has a legal basis for considering forfeiture under the Police Pensions Act 1976. This involves the processing of the individual's personal data which we will do under the lawful basis of public task under GDPR. The processing of personal data has been minimised within this decision and is held within the confidential Part 2 of this Decision Form.

6 Equality Comments

6.1 See Part 2 of this Decision Form.

7 Background/supporting papers

7.1 See Part 2 of this Decision Form.

Public access to information

Information in this form (Part 1) is subject to the Freedom of Information Act 2000 (FOIA) and will be made available on the MOPAC website following approval.

If immediate publication risks compromising the implementation of the decision it can be deferred until a specific date. Deferral periods should be kept to the shortest length strictly necessary.

Part 1 Deferral:

Is the publication of Part 1 of this approval to be deferred? NO

Part 2 Confidentiality: Only the facts or advice considered as likely to be exempt from disclosure under the FOIA should be in the separate Part 2 form, together with the legal rationale for non-publication.

Is there a **Part 2** form – YES

ORIGINATING OFFICER DECLARATION

Tick to confirm statement (✓)

Financial Advice:

The Strategic Finance and Resource Management Team has been consulted on this proposal.

✓

Legal Advice:

Legal considerations have been included and therefore no separate advice is required.

✓

Equalities Advice:

The Workforce Development Officer has been consulted on the equalities and diversity issues within this report.

✓

Commercial Issues

Commercial issues are not applicable.

✓

GDPR/Data Privacy

- GDPR compliance issues are covered in the body of the report.
- A DPIA is not required.

✓

Drafting Officer

Jaina Phillipo, Professional Standards Officer, has drafted this report in accordance with MOPAC procedures.

✓

Director/Head of Service:

The Head of MPS Oversight – Governance and Professionalism, Judith Mullett, has reviewed the request and is satisfied it is correct and consistent with the MOPAC's plans and priorities.

✓

Chief Executive Officer

I have been consulted about the proposal and confirm that financial, legal and equalities advice has been taken into account in the preparation of this report. I am satisfied that this is an appropriate request to be submitted to the Deputy Mayor for Policing and Crime.

Signature



Date 1/12/2022